

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)	
Andrej Kocev et al.)	
)	Examiner: Not yet assigned
Serial No.: 09/944,776)	
)	
Filed: August 31, 2001)	Art Unit: Not yet assigned
,)	, S
For: Programmable Tuning for Flow	j	
Control and Support for CPU Hot	Ś	
Plug	Ś	
6	,	Cesari and McKenna, LLP
		88 Black Falcon Avenue
		Boston, MA 02210
		ŕ
		November 2, 2001

"Express Mail" Mailing-Label Number: EL736358862US

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Enclosed in response to the Notice to File Missing Parts of Application-Filing

Date Granted mailed November 5, 2001, is a Declaration for Patent Application executed

by the inventors, along with a check for \$130.00 to cover the filing fee and surcharge for
this Response. The above-referenced application filed in the Patent and Trademark Office is the application that the inventors executed by signing the enclosed Declaration.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

11/02/01

Edwin H. Paul Reg. No. 31,405

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500

Patent and Trademark Office/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

(\$)

130

TOTAL AMOUNT OF PAYMENT

Complete if Known		
09/944,776		
August 31, 2001		
Andrej Kocev et al.		
Not yet assigned		
Not yet assigned		
15311-2310		
	August 31, 2001 Andrej Kocev et al. Not yet assigned Not yet assigned	

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated fees and credit any over payments to:	Large Entity Small Entity					
Deposit Account O3-1237 Number	Fee Code	Fee (\$)	Fee Code		Fee Description	Fee Paid
Deposit	105	130	205	65	Fee Surcharge - late filing fee or oath	130
Account Cesari and McKenna, LLP	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17	139	130	139	130	Non-English Specification	
Applicant claims small entity status.	147	2,520	147	2,520	For filing a request for ex parte reexamination	
See 37 CFR 1.27	112	920	112	920*	Requesting publication of SIR prior to	
2. A Payment Enclosed:					Examiner action	
Check Credit card Money Other	113	1,840	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	115	110	215	55	Extension for reply within first month	
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month	
•	117	920	217	460	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	118	1,440	218	720	Extenstion for reply within fourth month	
Code (\$) Code (\$)	128	1,960	128	980	Extension for reply within fifth month	
101 - 740 201 370 Utility filing fee	119	320	219	160	Notice of Appeal	
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal	
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing	
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 0	141	1,280	241	640	Petition to revive - unintentional	
	142	1,280	242	640	Utility Issue fee (or reissue)	
2. EXTRA CLAIM FEES	143	460	243	230	Design Issue fee	
Extra Fee from Claims below Fee Paid	144	620	244	310	Plant Issue fee	
Total Claims Ones - O	122		122	130	Petitions to the Commissioner	
Independent	123		123	50	Processing fee under 37 CFR 1.17(q)	
Claims - 3** = 0 x = 0	126		126	180	Submission of Information Disclosure Stmt	
Multiple Dependent = 0	581		581	40	Recording each patent assignment per	
Large Entity Small Entity		-,0	•••		property (times number of properties)	
Fee Fee Fee Fee Description	146	740	246	370	Filing a submission after final rejection	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20					(37 CFR 1.129(a))	
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be	
104 280 204 140 Multiple dependent claim, if not paid	ı				examined (37 CFR 1.129(b))	
109 84 209 42 **Reissue independent claims over	179	740	279	370	Request for Continued Examination (RCE)	
original patent 110 18 210 9 **Reissue claims in excess of 20	169	900	169	900	Request for expedited examination	
and over original patent					of a design application	
SUBTOTAL (2) (\$) 0	Other fee (specify)					
**or number previously paid, if greater, For Reissues, see below	*Red	luced b	y Basi	ic Filing	Fee Paid SUBTOTAL (3) (\$)	130
,						

SUBMITTED BY				Complete (if	applicable)
Name (Print/Type)	Edwin H. Paul	Registration No. (Attorney/Agent)	31,405	Telephone	(617) 951-2500
Signature	Edwin H. Paul	4		Date	November 2, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Andrej Kocev et al.)	
Serial No.: 09/944,776)	Examiner: Not yet assigned
Filed: August 31, 2001 For: Programmable Tuning for Flow Control and Support for CPU Hot Plug)	Art Unit: Not yet assigned
	,	Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210 November 2, 2001

EXPRESS-MAIL DEPOSIT

"Express Mail" Mailing-Label Number: EL736358862US

The following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10:

X Power of Attorney X Oath or Declaration
X Fee Transmittal Letter X Check for \$130.00

X Copy of Notice to File Missing Parts X Response to Notice to File Missing

Parts

X Return Receipt Postcard

Page 1 of 2 # Sector

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADE WARK OFFICE WASHINGTON, D.C. 2023I

INGTON, D.C. 20231 WWW.USDIO.GOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/944.776

08/31/2001

Andrej Kocev

15311-2310

24267 CESARI AND MCKENNA, LLP 88 BLACK FALCON AVENUE BOSTON, MA 02210 CONFIRMATION NO. 1813 FORMALITIES LETTER

OC00000006853355

Date Mailed: 10/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/07/2001 SMINASS1 00000056 09944776

FILED UNDER 37 CFR 1.53(b)

01 FC:105

130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Stephen Ho
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

